

Substitute Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 12370-001001	Application No. 09/751,883
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Nicholas A. Lee et al.		
		Filing Date December 29, 2000	Group Art Unit 2839	

U.S. Patent Documents

Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
SN	AA	5,042,898	08/27/91	Morey et al.	—	—	
	AB	5,682,445	10/28/97	Smith	—	—	
O I P S	AC	5,694,503	12/02/97	Fleming et al.	—	—	
FEB 20 2001	AD	5,719,971	02/17/98	Smith	—	—	
PATENT & TRADEMARK OFFICE	AE	5,757,540	05/26/98	Judkins et al.	—	—	
	AF	5,841,920	11/24/98	Lemaire et al.	—	—	
	AG	5,914,972	06/22/99	Siala et al.	—	—	
	AH	5,920,663	07/06/99	Dragone	—	—	
	AI	5,938,811	08/17/99	Greene	—	—	
	AJ	5,987,200	11/16/99	Fleming et al.	—	—	
	AK	5,999,671	12/07/99	Jin et al.	—	—	
	AL	6,044,189	03/28/00	Miller	—	—	
SN	AM	6,067,392	05/23/00	Wakami et al.	—	—	

Foreign Patent Documents or Published Foreign Patent Applications

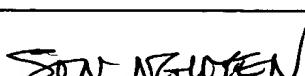
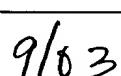
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation
							Yes No
SN	AN	JP 2000098145A	04/07/00	Japan	—	—	X (abstract)
	AO						
	AP						
	AQ						
	AR						

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document	
	AS		
	AT		

Examiner Signature	STAN NUGREN	Date Considered	9/6/03
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

OMB No. 0651-0011

INFORMATION DISCLOSURE STATEMENT 		Atty. Docket No.:	Serial No.:				
		55876US002		09/751,883			
		Applicant(s):		Nicholas A. Lee and Gordon G. Wiegand			
		Filing Date:		Group:			
December 29, 2000		2874 2839					
U.S. PATENT DOCUMENTS							
Examiner Initials	Document Number	Date	Name	Class	SubClass		
FOREIGN PATENT DOCUMENTS							
	Document Number	Date of Publication	Country	Class	SubClass	Translation	
						Yes	No
SN	WO98/59267	31.12.98	PCT	G02B	5/18	X	
SN	WO99/47955	23.09.99	PCT	G02B	6/293	X	
OTHER DOCUMENTS (Including Authors, Title, Date, Pertinent Papers, etc.)							
SN		H. Mavoori, et al., "Enhanced thermal and magnetic actuations for broad-range tuning of fiber Bragg grating-based reconfigurable add-drop devices", OPTICS LETTERS, Vol 24, No. 11, June 1, 1999. pp. 714-716					
EXAMINER 			Date Considered 				

***Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.**

**Based on Form PTO-FB-A820
(Also form PTO-1449)**

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